2019 National Inventory of Self-Direction Programs

ASD APPLIED SELF-DIRECTION

September 28, 2020

Background

Why the Inventory?

- Is self-direction growing more prevalent over time?

- Are there common trends across states?

- How are programs changing, evolving, and expanding?

- What are the challenges facing self-direction?

Lead Contributors

- Project Management - **Applied Self-Direction**
- Sponsorship - **AARP**
- Lead Authorship - **Penn State**

- Our heartfelt thanks to Kathleen Ujvari at AARP and Mark Sciegaj at Penn State for your leadership, indispensable contributions, and years long dedication!

Key Contributors

- State Program Administrators
- FMS Entities
- Managed Care Organizations

- If you contributed or reviewed data for the inventory, we thank you for volunteering your time and expertise for this effort!

Definitions

A more concise definition of self-direction

Introducing the concept of 'feeder' programs

What is a program?

Respite

Methods

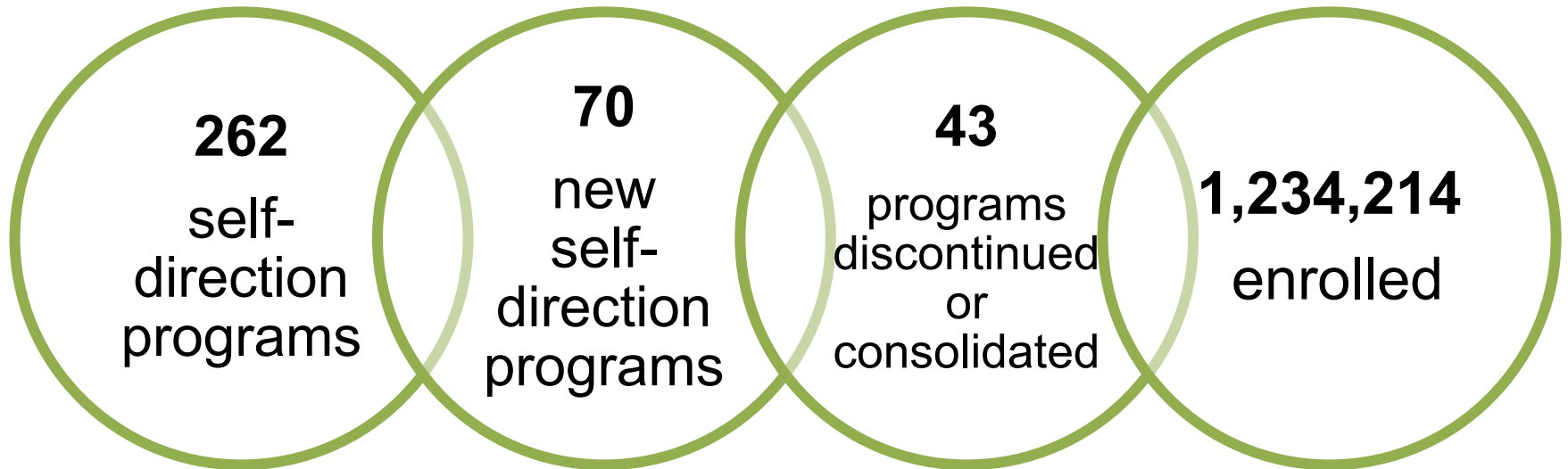|		
Initial review of waiver applications and program websites	61 Interviews with state staff from 44 states	Written correspondence with program staff in every state
Enrollment data from state program administrators	Enrollment data from FMS entities	Data audit with ASD members

Key Findings

New Growth Overall & Consolidation

262 self-direction programs

70 new self-direction programs

43 programs discontinued or consolidated

1,234,214 enrolled

Self-Direction Continues to Grow

Self-Direction National Enrollment Over Time

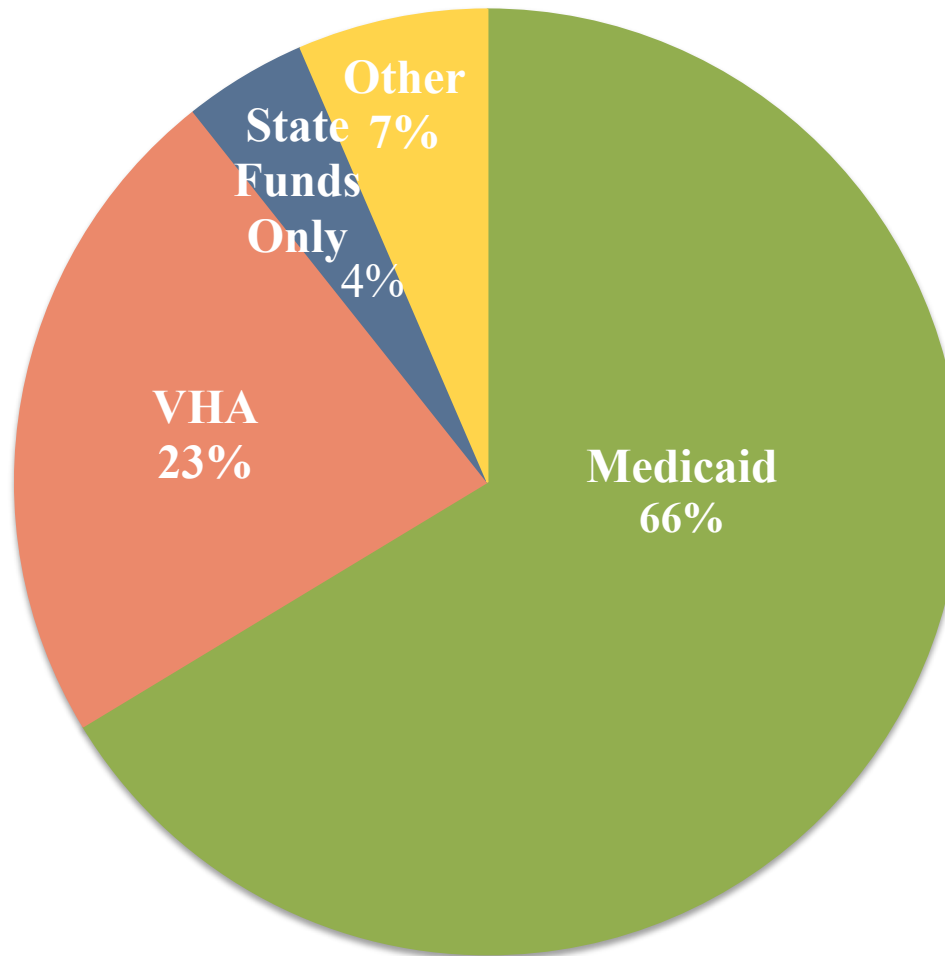# A Snapshot of the States

Top 5 Largest: **CA, NY, IL, MO, WI**

Top 5 Smallest: **SD, IN, ND, DC, NV**
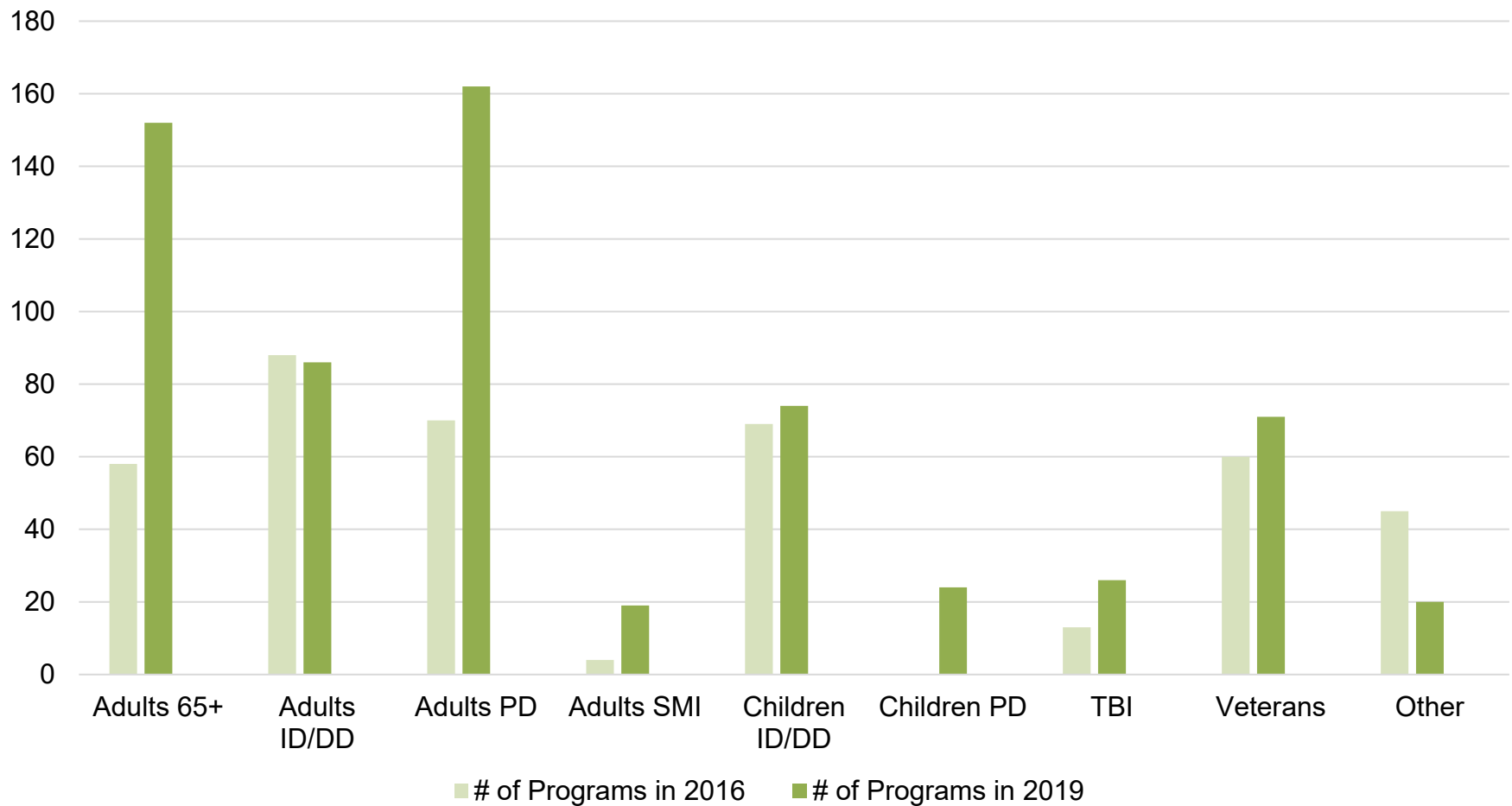
Top 5 for Growth: **DC, AL, NY, CO, MN**

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Mostly Medicaid

Number of Self-Direction Programs by Funding Source

Legend: Medicaid · VHA · State Funds Only · Other

- Medicaid 66%
- VHA 23%
- State Funds Only 4%
- Other 7%

Serving Many Populations

Number of Programs by Population in 2016 & 2019

Flexibility

More than half of programs have specific **hiring restrictions**

Majority of programs offer **respite options**

77% of programs use a **budget authority model**

83% of budget authority programs allow a wide range of **goods & services**

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Emerging Trends

Emerging Trends for Self-Direction in 2019

1. The number of self-direction "feeder programs" has increased sharply since the 2016 Inventory.

2. In some states, MLTSS may be promoting increased use of self-direction.

3. The Veteran-Directed Care program is showing promising growth.

4. More states are offering self-direction to individuals with serious mental illness.

5. States are concerned about implementing EVV.

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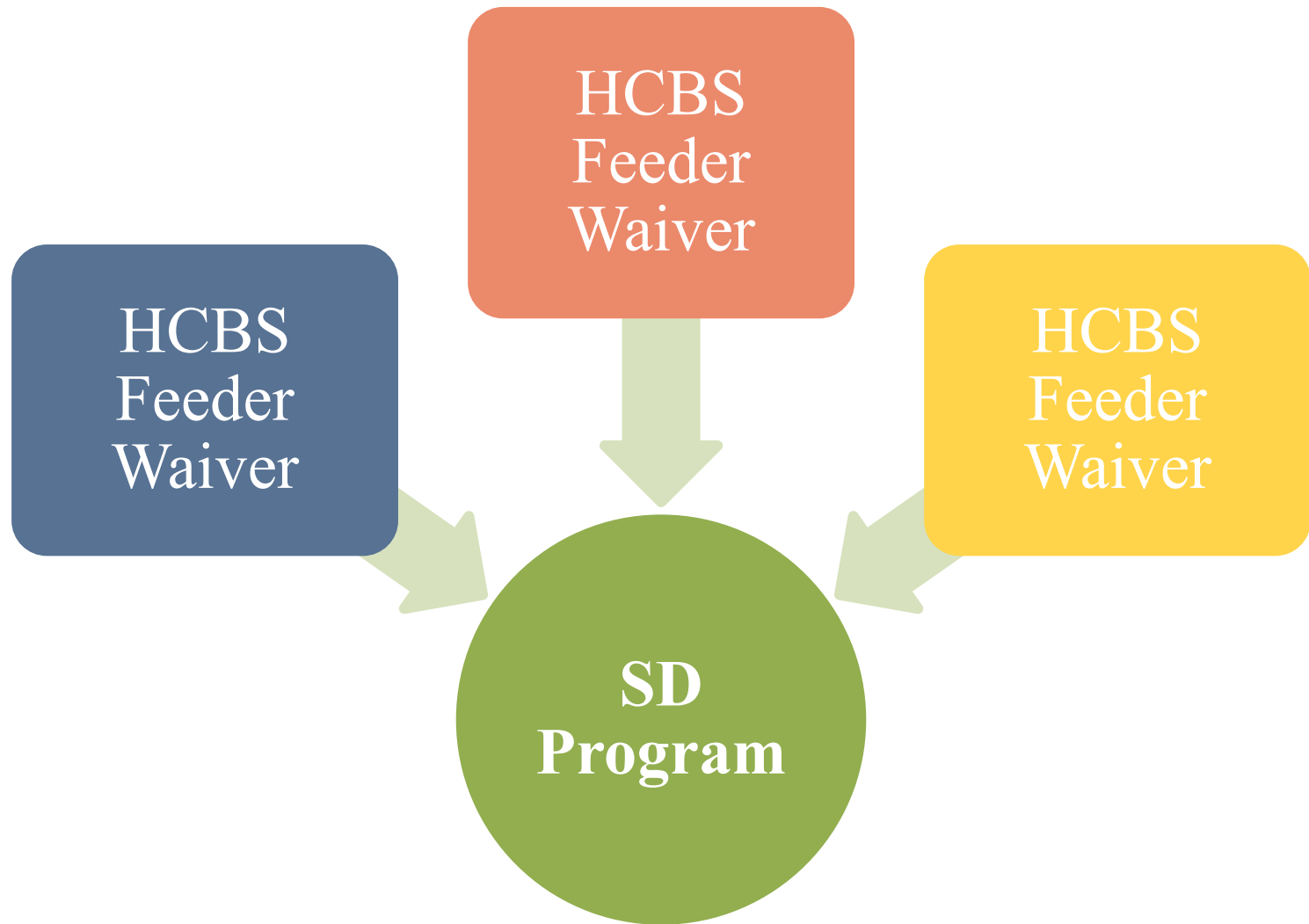
Which Emerging Trends Interest You Most?

Feeder Programs

A New Phenomenon: Feeder Programs

47 Feeder Programs
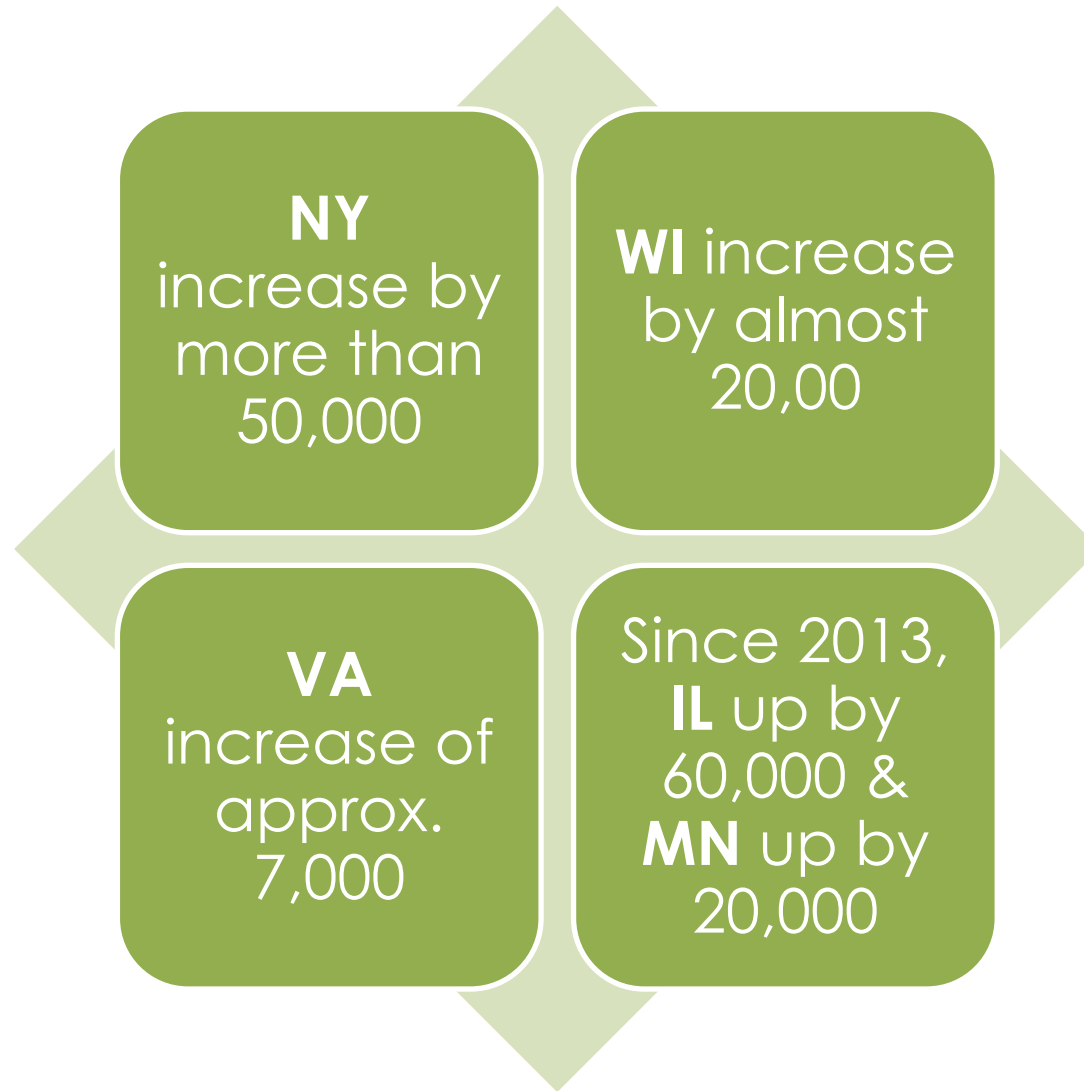
AL, AK, CO, CT, IA, MA, MN, OR, TX, VT, WI

A New Phenomenon: Feeder Programs

HCBS Feeder Waiver

HCBS Feeder Waiver

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SD Program

MLTSS & Program Growth?

Could MLTSS be spurring program growth?

NY increase by more than 50,000

WI increase by almost 20,00

VA increase of approx. 7,000

Since 2013, **IL** up by 60,000 & **MN** up by 20,000

Could MLTSS be spurring program growth?

MLTSS States

SD Enrollment Decreased 30%

SD Enrollment Increased 70%
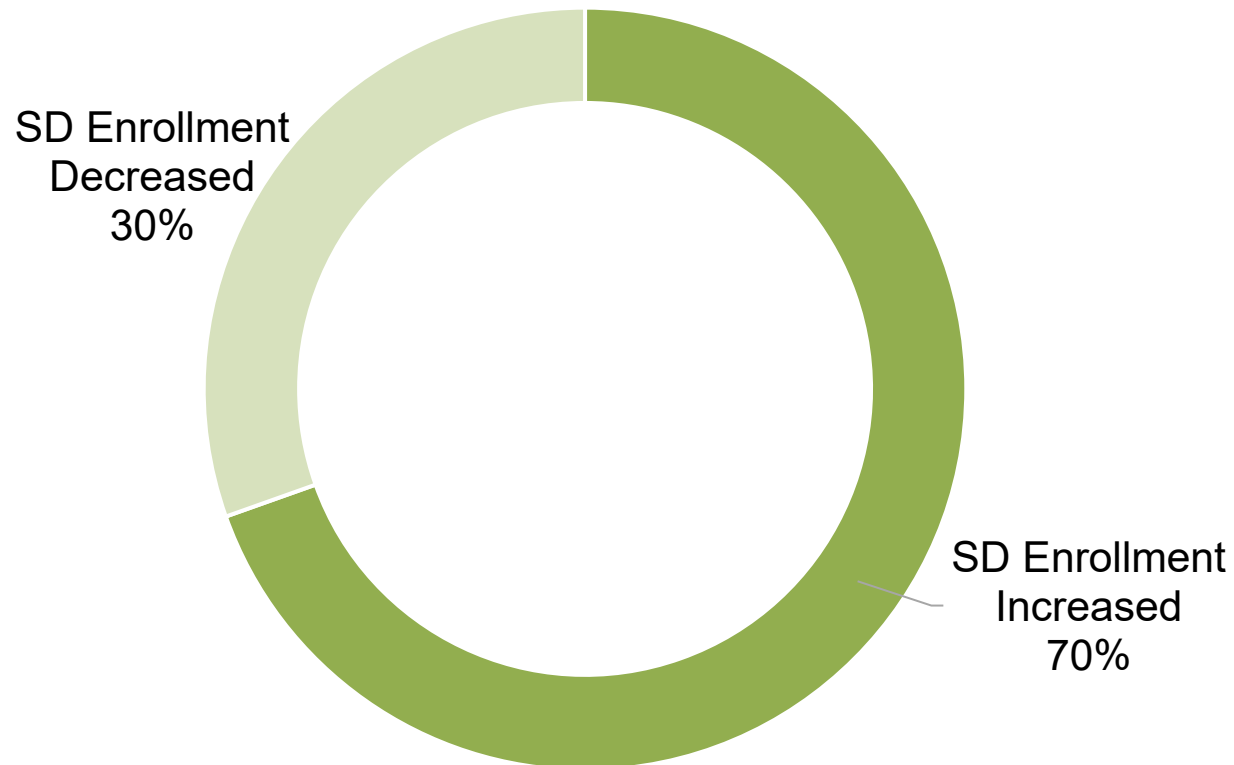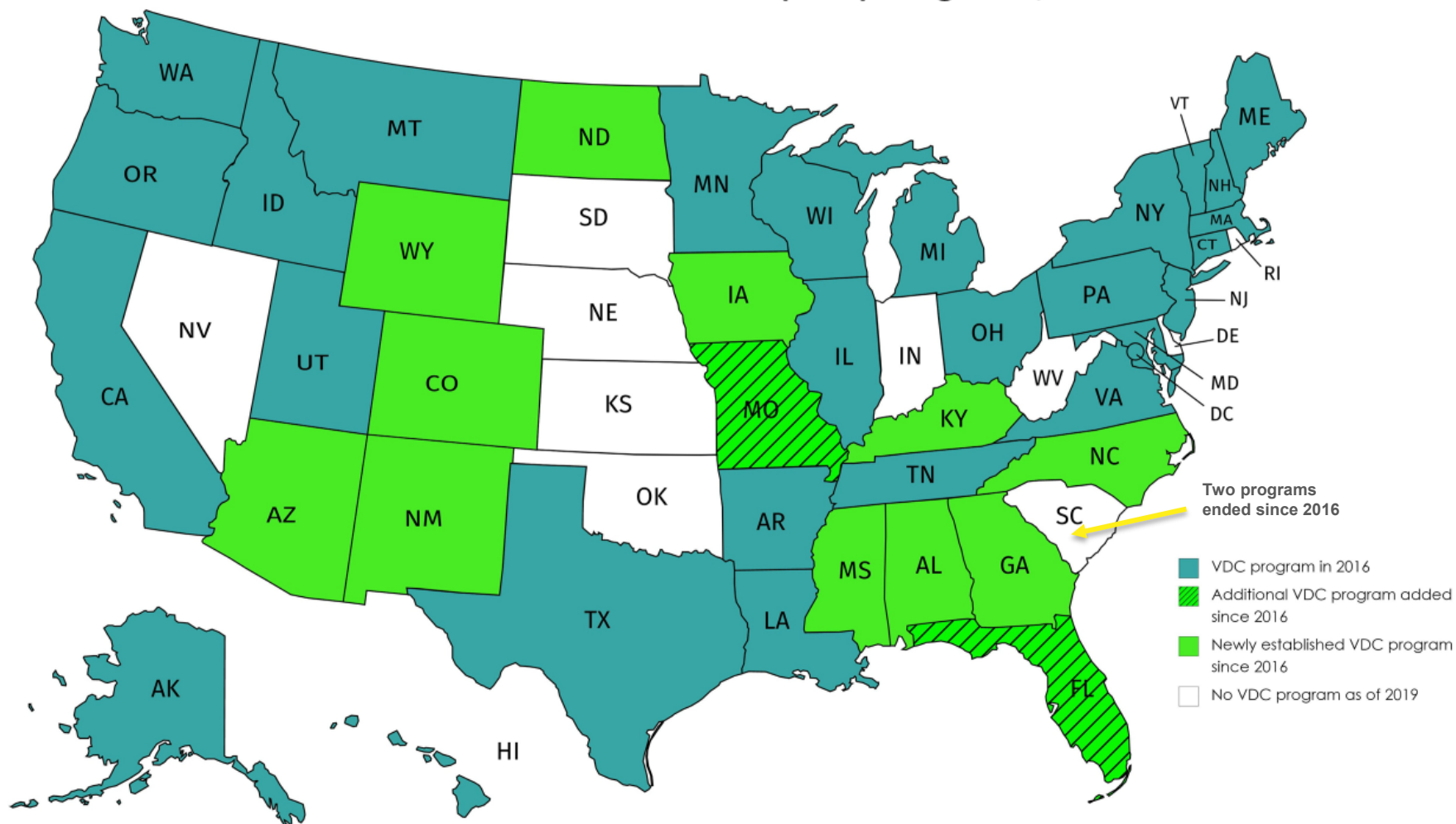
■ SD Enrollment Increased   ■ SD Enrollment Decreased

Veteran-Directed Care

Veteran-Directed Care (VDC) Programs are Showing Promising Growth

- Number of states with VDC programs
- Veteran participants (ages 65+, physical disability)
- Program count
- States with multiple programs

States with Veteran-Directed Care (VDC) Programs, 2016 and 2019

Two programs ended since 2016

Legend:
- VDC program in 2016
- Additional VDC program added since 2016
- Newly established VDC program since 2016
- No VDC program as of 2019

Applied Self-Direction, "National Inventory of Publicly Funded Self-Directed Long-Term Services and Supports Programs in the United States Survey" (unpublished, Boston, MA: Applied Self-Direction, 2019).

Trends: 2016 - 2019

	2016	2019	Change (2016-2019)
State Count	31	41	32% increase
Veteran Participants	1,572	2,048	30% increase
Program Count	60	71	18% increase
Multiple Programs	12	15	25% increase

States with 100 or More Veteran Participants in 2019

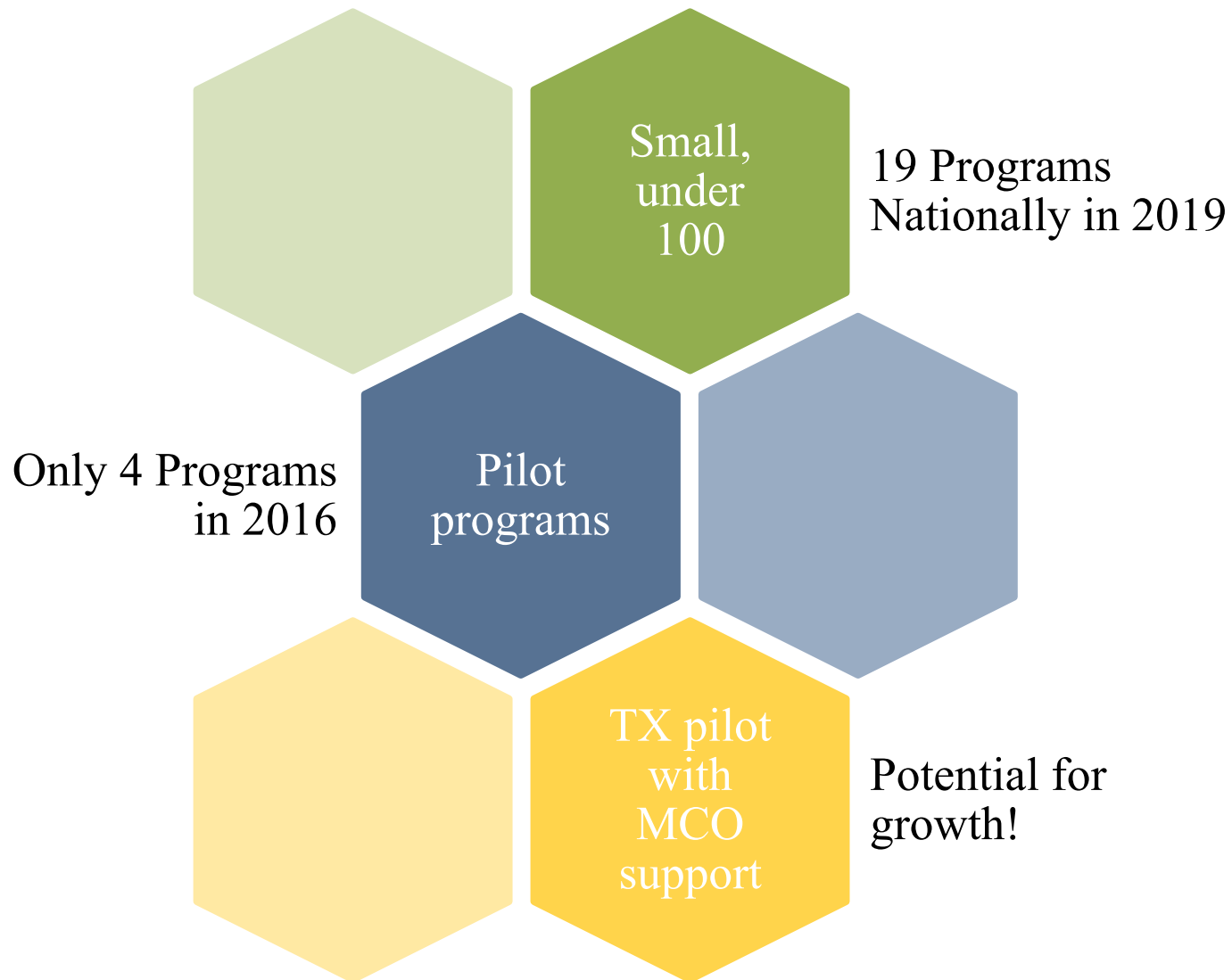|	Number of Participants	Number of Programs	% Change in Number of Participants (2016 to 2019)
Florida *, **	373	6	58%
Missouri **	279	2	417%
Virginia *	211	3	220%
Colorado	206	2	N/A – new programs since 2016
Montana	194	1	362%
Texas *	148	4	244%
Illinois *	134	5	No change
Michigan *	113	5	20%
Utah	108	1	39%

*   VDC program began in 2009-10
** State added additional VDC programs since 2016

Self-Direction for Individuals with SMI

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More Self-Direction for Individuals with SMI

Small, under 100

19 Programs Nationally in 2019

Pilot programs

Only 4 Programs in 2016

TX pilot with MCO support

Potential for growth!

Electronic Visit Verification

States are Uneasy about EVV

States are moving forward with EVV implementation

EVV in self-direction is especially challenging

January 2020 deadline

Diffusion of responsibility in self-direction

EVV products designed for traditional programs

Questions?

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